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Agenda item 96

Prevention of an arms race in outer space

Report of the First Committee

Rapporteur: Mr. Darren **Hansen** (Australia)

I. Introduction

1. The item entitled:

"Prevention of an arms race in outer space:

"(a) Prevention of an arms race in outer space;

"(b) No first placement of weapons in outer space"

was included in the provisional agenda of the seventy-first session of the General Assembly in accordance with Assembly resolutions 70/26 and 70/27 of 7 December 2015.

2. At its 2nd plenary meeting, on 16 September 2016, the General Assembly, on the recommendation of the General Committee, decided to include the item in its agenda and to allocate it to the First Committee.

3. At its 1st meeting, on 30 September 2016, the First Committee decided to hold a general debate on all disarmament and international security items allocated to it, namely, items 89 to 105. At its 2nd to 9th meetings, from 3 to 7 and from 10 to 12 October, the Committee held a general debate on those items. At its 8th meeting, on 11 October, the Committee had an exchange with the Deputy to the High Representative for Disarmament Affairs on follow-up of resolutions and decisions adopted by the Committee at previous sessions and presentation of reports. At its 10th meeting, on 13 October, the Committee had an exchange with the High Representative for Disarmament Affairs and other high-level officials in the field of arms control and disarmament. The Committee also held 13 meetings (10th to 22nd), on 13 and 14, from 17 to 21 and from 24 to 27 October, for thematic discussions and panel exchanges with independent experts. At those meetings, as well as during the action phase, draft resolutions were introduced and considered.

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The Committee took action on all draft resolutions and decisions at its 22nd to 26th meetings, on 27 and 28 October and from 31 October to 2 November.[1]

4. No documents were submitted for consideration under this item.

II. Consideration of proposals

A. Draft resolution A/C.1/71/L.3

5. At its 23rd meeting, on 28 October, the Committee had before it a draft resolution entitled "Prevention of an arms race in outer space" (A/C.1/71/L.3), submitted by Egypt on behalf of Algeria, Angola, Bangladesh, Belarus, Burkina Faso, China, Cuba, the Democratic People's Republic of Korea, Egypt, El Salvador, Kazakhstan, Kenya, Kyrgyzstan, Libya, Malawi, Mongolia, Myanmar, Nicaragua, Pakistan, Papua New Guinea, Samoa, Sierra Leone, Sri Lanka, Swaziland, the Syrian Arab Republic, Thailand, Uruguay, Venezuela (Bolivarian Republic of) and Zimbabwe. Subsequently, Armenia, Bolivia (Plurinational State of), Brazil, Cabo Verde, the Congo, the Democratic Republic of the Congo, Ecuador, Eritrea, Guatemala, Honduras, India, Indonesia, Iraq, Kuwait, Malaysia, Mauritania, Nepal, Nigeria, the Russian Federation, Uzbekistan and Yemen joined in sponsoring the draft resolution.

6. At the same meeting, the Committee adopted draft resolution A/C.1/71/L.3 by a recorded vote of 178 to none, with 4 abstentions (see para. 9, draft resolution I). The voting was as follows:

In favour:

Afghanistan, Albania, Algeria, Andorra, Angola, Antigua and Barbuda, Argentina, Armenia, Australia, Austria, Azerbaijan, Bahamas, Bahrain, Bangladesh, Barbados, Belarus, Belgium, Belize, Benin, Bhutan, Bolivia (Plurinational State of), Bosnia and Herzegovina, Botswana, Brazil, Brunei Darussalam, Bulgaria, Burkina Faso, Burundi, Cabo Verde, Cambodia, Cameroon, Canada, Central African Republic, Chad, Chile, China, Colombia, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Cyprus, Czechia, Democratic People's Republic of Korea, Democratic Republic of the Congo, Denmark, Djibouti, Dominican Republic, Ecuador, Egypt, El Salvador, Estonia, Ethiopia, Fiji, Finland, France, Gabon, Georgia, Germany, Ghana, Greece, Grenada, Guatemala, Guinea, Guinea-Bissau, Guyana, Honduras, Hungary, Iceland, India, Indonesia, Iran (Islamic Republic of), Iraq, Ireland, Italy, Jamaica, Japan, Jordan, Kazakhstan, Kenya, Kiribati, Kuwait, Kyrgyzstan, Lao People's Democratic Republic, Latvia, Lebanon, Lesotho, Liberia, Libya, Liechtenstein, Lithuania, Luxembourg, Madagascar, Malawi, Malaysia, Maldives, Mali, Malta, Marshall Islands, Mauritania, Mauritius,

[1] For an account of the Committee's discussion of the item, see A/C.1/71/PV.2, A/C.1/71/PV.3, A/C.1/71/PV.4, A/C.1/71/PV.5, A/C.1/71/PV.6, A/C.1/71/PV.7, A/C.1/71/PV.8, A/C.1/71/PV.9, A/C.1/71/PV.10, A/C.1/71/PV.11, A/C.1/71/PV.12, A/C.1/71/PV.13, A/C.1/71/PV.14, A/C.1/71/PV.15, A/C.1/71/PV.16, A/C.1/71/PV.17, A/C.1/71/PV.18, A/C.1/71/PV.19, A/C.1/71/PV.20, A/C.1/71/PV.21, A/C.1/71/PV.22, A/C.1/71/PV.23, A/C.1/71/PV.24, A/C.1/71/PV.25 and A/C.1/71/PV.26.

Mexico, Micronesia (Federated States of), Monaco, Mongolia, Montenegro, Morocco, Mozambique, Myanmar, Namibia, Nepal, Netherlands, New Zealand, Nicaragua, Niger, Nigeria, Norway, Oman, Pakistan, Panama, Papua New Guinea, Paraguay, Peru, Philippines, Poland, Portugal, Qatar, Republic of Korea, Republic of Moldova, Romania, Russian Federation, Rwanda, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Samoa, San Marino, Saudi Arabia, Senegal, Serbia, Sierra Leone, Singapore, Slovakia, Slovenia, South Africa, Spain, Sri Lanka, Sudan, Suriname, Swaziland, Sweden, Switzerland, Syrian Arab Republic, Tajikistan, Thailand, the former Yugoslav Republic of Macedonia, Timor-Leste, Togo, Tonga, Trinidad and Tobago, Tunisia, Turkey, Turkmenistan, Tuvalu, Uganda, Ukraine, United Arab Emirates, United Kingdom of Great Britain and Northern Ireland, United Republic of Tanzania, Uruguay, Uzbekistan, Vanuatu, Venezuela (Bolivarian Republic of), Viet Nam, Yemen, Zambia, Zimbabwe.

Against:

None.

Abstaining:

Israel, Palau, South Sudan, United States of America.

B. Draft resolution A/C.1/71/L.18

7. At the 23rd meeting, on 28 October, the representative of the Russian Federation, on behalf of Algeria, Angola, Armenia, Bangladesh, Belarus, Brazil, China, Cuba, the Democratic People's Republic of Korea, Egypt, El Salvador, Eritrea, Kazakhstan, Kenya, Kyrgyzstan, Lao People's Democratic Republic, Mali, Mongolia, Myanmar, Namibia, Nicaragua, Pakistan, Papua New Guinea, the Russian Federation, Senegal, Sierra Leone, Sri Lanka, Swaziland, the Syrian Arab Republic, Thailand, Turkmenistan, Uzbekistan, Venezuela (Bolivarian Republic of), Viet Nam and Zimbabwe, introduced a draft resolution entitled "No first placement of weapons in outer space" (A/C.1/71/L.18). Subsequently, Argentina, Benin, Bolivia (Plurinational State of), Cambodia, Honduras, Indonesia, Morocco, Suriname, Tajikistan and Yemen joined in sponsoring the draft resolution.

8. At the same meeting, the Committee adopted draft resolution A/C.1/71/L.18 by a recorded vote of 126 to 4, with 49 abstentions (see para. 9, draft resolution II). The voting was as follows:

In favour:

Afghanistan, Algeria, Angola, Antigua and Barbuda, Argentina, Armenia, Azerbaijan, Bahamas, Bahrain, Bangladesh, Barbados, Belarus, Belize, Benin, Bhutan, Bolivia (Plurinational State of), Botswana, Brazil, Brunei Darussalam, Burkina Faso, Burundi, Cabo Verde, Cambodia, Cameroon, Central African Republic, Chad, Chile, China, Colombia, Congo, Costa Rica, Côte d'Ivoire, Cuba, Democratic People's Republic of Korea, Democratic Republic of the Congo, Djibouti, Dominican Republic, Ecuador, Egypt, El Salvador, Eritrea, Ethiopia, Fiji, Gabon, Ghana, Grenada, Guatemala, Guinea, Guinea-Bissau, Guyana, Honduras, India, Indonesia, Iran (Islamic Republic of), Iraq, Jamaica, Jordan, Kazakhstan, Kenya, Kiribati, Kuwait, Kyrgyzstan, Lao People's Democratic Republic, Lebanon, Lesotho, Liberia, Libya, Madagascar, Malawi,

Malaysia, Maldives, Mali, Mauritania, Mauritius, Mexico, Mongolia, Morocco, Mozambique, Myanmar, Namibia, Nepal, Nicaragua, Niger, Nigeria, Oman, Pakistan, Panama, Papua New Guinea, Paraguay, Peru, Philippines, Qatar, Russian Federation, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Samoa, Saudi Arabia, Senegal, Serbia, Sierra Leone, Singapore, South Africa, South Sudan, Sri Lanka, Sudan, Suriname, Swaziland, Syrian Arab Republic, Tajikistan, Thailand, Timor-Leste, Togo, Trinidad and Tobago, Tunisia, Turkmenistan, Uganda, United Arab Emirates, United Republic of Tanzania, Uruguay, Uzbekistan, Venezuela (Bolivarian Republic of), Viet Nam, Yemen, Zambia, Zimbabwe.

Against:

Georgia, Israel, Ukraine, United States of America.

Abstaining:

Albania, Andorra, Australia, Austria, Belgium, Bosnia and Herzegovina, Bulgaria, Canada, Croatia, Cyprus, Czechia, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Japan, Latvia, Liechtenstein, Lithuania, Luxembourg, Malta, Monaco, Montenegro, Netherlands, New Zealand, Norway, Palau, Poland, Portugal, Republic of Korea, Republic of Moldova, Romania, San Marino, Slovakia, Slovenia, Spain, Sweden, Switzerland, the former Yugoslav Republic of Macedonia, Turkey, Tuvalu, United Kingdom of Great Britain and Northern Ireland, Vanuatu.

III. Recommendations of the First Committee

9. The First Committee recommends to the General Assembly the adoption of the following draft resolutions:

Draft resolution I
Prevention of an arms race in outer space

The General Assembly,

Recognizing the common interest of all mankind in the exploration and use of outer space for peaceful purposes,

Reaffirming the will of all States that the exploration and use of outer space, including the Moon and other celestial bodies, shall be for peaceful purposes and shall be carried out for the benefit and in the interest of all countries, irrespective of their degree of economic or scientific development,

Reaffirming also the provisions of articles III and IV of the Treaty on Principles Governing the Activities of States in the Exploration and Use of Outer Space, including the Moon and Other Celestial Bodies,[1]

Recalling the obligation of all States to observe the provisions of the Charter of the United Nations regarding the use or threat of use of force in their international relations, including in their space activities,

Reaffirming paragraph 80 of the Final Document of the Tenth Special Session of the General Assembly,[2] in which it is stated that, in order to prevent an arms race in outer space, further measures should be taken and appropriate international negotiations held in accordance with the spirit of the Treaty,

Recalling its previous resolutions on this issue, the most recent of which is resolution 70/26 of 7 December 2015, and taking note of the proposals submitted to the General Assembly at its tenth special session and at its regular sessions and of the recommendations made to the competent organs of the United Nations and to the Conference on Disarmament,

Recognizing that the prevention of an arms race in outer space would avert a grave danger for international peace and security,

Emphasizing the paramount importance of strict compliance with existing arms limitation and disarmament agreements relevant to outer space, including bilateral agreements, and with the existing legal regime concerning the use of outer space,

Considering that wide participation in the legal regime applicable to outer space could contribute to enhancing its effectiveness,

Noting that the Ad Hoc Committee on the Prevention of an Arms Race in Outer Space, taking into account its previous efforts since its establishment in 1985 and seeking to enhance its functioning in qualitative terms, continued the examination and identification of various issues, existing agreements and existing

[1] United Nations, *Treaty Series*, vol. 610, No. 8843.
[2] Resolution S-10/2.

proposals, as well as future initiatives relevant to the prevention of an arms race in outer space, and that this contributed to a better understanding of a number of problems and to a clearer perception of the various positions,

Noting also that there were no objections in principle in the Conference on Disarmament to the re-establishment of the Ad Hoc Committee, subject to re-examination of the mandate contained in the decision of the Conference on Disarmament of 13 February 1992,[3]

Emphasizing the mutually complementary nature of bilateral and multilateral efforts for the prevention of an arms race in outer space, and hoping that concrete results will emerge from those efforts as soon as possible,

Convinced that further measures should be examined in the search for effective and verifiable bilateral and multilateral agreements in order to prevent an arms race in outer space, including the weaponization of outer space,

Stressing that the growing use of outer space increases the need for greater transparency and better information on the part of the international community,

Recalling, in this context, its previous resolutions, in particular resolutions 45/55 B of 4 December 1990, 47/51 of 9 December 1992 and 48/74 A of 16 December 1993, in which, inter alia, it reaffirmed the importance of confidence-building measures as a means conducive to ensuring the attainment of the objective of the prevention of an arms race in outer space,

Conscious of the benefits of confidence- and security-building measures in the military field,

Recognizing that negotiations for the conclusion of an international agreement or agreements to prevent an arms race in outer space remain a priority task of the Conference on Disarmament and that the concrete proposals on confidence-building measures could form an integral part of such agreements,

Noting with satisfaction the constructive, structured and focused debate on the prevention of an arms race in outer space at the Conference on Disarmament in 2009, 2010, 2011, 2012, 2013, 2014, 2015 and 2016,

Noting the introduction by China and the Russian Federation at the Conference on Disarmament of the draft treaty on the prevention of the placement of weapons in outer space and of the threat or use of force against outer space objects in 2008 and the submission of its updated version in 2014,[4]

Taking note of the decision of the Conference on Disarmament to establish for its 2009 session a working group to discuss, substantially, without limitation, all issues related to the prevention of an arms race in outer space,

1. *Reaffirms* the importance and urgency of preventing an arms race in outer space and the readiness of all States to contribute to that common objective, in conformity with the provisions of the Treaty on Principles Governing the Activities

[3] See *Official Records of the General Assembly, Forty-seventh Session, Supplement No. 27* (A/47/27), para. 76.

[4] See CD/1839 and CD/1985.

of States in the Exploration and Use of Outer Space, including the Moon and Other Celestial Bodies;[1]

2. *Reaffirms its recognition*, as stated in the report of the Ad Hoc Committee on the Prevention of an Arms Race in Outer Space, that the legal regime applicable to outer space by itself does not guarantee the prevention of an arms race in outer space, that the regime plays a significant role in the prevention of an arms race in that environment, that there is a need to consolidate and reinforce that regime and enhance its effectiveness and that it is important to comply strictly with existing agreements, both bilateral and multilateral;

3. *Emphasizes* the necessity of further measures with appropriate and effective provisions for verification to prevent an arms race in outer space;

4. *Calls upon* all States, in particular those with major space capabilities, to contribute actively to the objective of the peaceful use of outer space and of the prevention of an arms race in outer space and to refrain from actions contrary to that objective and to the relevant existing treaties in the interest of maintaining international peace and security and promoting international cooperation;

5. *Reiterates* that the Conference on Disarmament, as the sole multilateral disarmament negotiating forum, has the primary role in the negotiation of a multilateral agreement or agreements, as appropriate, on the prevention of an arms race in outer space in all its aspects;

6. *Invites* the Conference on Disarmament to establish a working group under its agenda item entitled "Prevention of an arms race in outer space" as early as possible during its 2017 session;

7. *Recognizes*, in this respect, the growing convergence of views on the elaboration of measures designed to strengthen transparency, confidence and security in the peaceful uses of outer space;

8. *Urges* States conducting activities in outer space, as well as States interested in conducting such activities, to keep the Conference on Disarmament informed of the progress of bilateral and multilateral negotiations on the matter, if any, so as to facilitate its work;

9. *Decides* to include in the provisional agenda of its seventy-second session the item entitled "Prevention of an arms race in outer space".

Draft resolution II
No first placement of weapons in outer space

The General Assembly,

Recognizing the common interest of all mankind in the exploration and use of outer space for peaceful purposes,

Seriously concerned about the possibility of an arms race in outer space and of outer space turning into an arena for military confrontation, and bearing in mind the importance of articles III and IV of the Treaty on Principles Governing the Activities of States in the Exploration and Use of Outer Space, including the Moon and Other Celestial Bodies,[1]

Conscious that the prevention of an arms race in outer space would avert a grave danger to international peace and security,

Reaffirming that practical measures should be examined and taken in the search for agreements to prevent an arms race in outer space,

Emphasizing the paramount importance of strict compliance with the existing legal regime providing for the peaceful use of outer space,

Reaffirming its recognition that the legal regime applicable to outer space by itself does not guarantee prevention of an arms race in outer space and that there is a need to consolidate and reinforce that regime,

Welcoming, in this regard, the draft treaty on the prevention of the placement of weapons in outer space and of the threat or use of force against outer space objects, introduced by China and the Russian Federation at the Conference on Disarmament in 2008,[2] and the submission of its updated version in 2014,[3]

Considering that transparency and confidence-building measures in outer space activities are an integral part of the draft treaty referred to above,

Recalling its resolutions 69/32 of 2 December 2014 and 70/27 of 7 December 2015, and its resolutions 45/55 B of 4 December 1990 and 48/74 B of 16 December 1993, which, inter alia, confirm the importance of transparency and confidence-building measures as a means conducive to ensuring the attainment of the objective of the prevention of an arms race in outer space,

Noting the importance of the political statements made by a number of States[4] that they would not be the first to place weapons in outer space,

1. *Reaffirms* the importance and urgency of the objective to prevent an arms race in outer space and the willingness of States to contribute to reaching this common goal;

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[1] United Nations, *Treaty Series*, vol. 610, No. 8843.

[2] See CD/1839.

[3] See CD/1985.

[4] Argentina, Armenia, Belarus, Bolivia (Plurinational State of), Brazil, Cuba, Indonesia, Kazakhstan, Kyrgyzstan, Nicaragua, Russian Federation, Sri Lanka, Tajikistan and Venezuela (Bolivarian Republic of).

2. *Reiterates* that the Conference on Disarmament, as the single multilateral disarmament negotiating forum,[5] has the primary role in the negotiation of a multilateral agreement, or agreements, as appropriate, on the prevention of an arms race in outer space in all its aspects;

3. *Urges* an early commencement of substantive work based on the updated draft treaty on the prevention of the placement of weapons in outer space and of the threat or use of force against outer space objects introduced by China and the Russian Federation at the Conference on Disarmament in 2008,[2] under the agenda item entitled "Prevention of an arms race in outer space";

4. *Stresses* that, while such an agreement is not yet concluded, other measures may contribute to ensuring that weapons are not placed in outer space;

5. *Encourages* all States, especially space-faring nations, to consider the possibility of upholding as appropriate a political commitment not to be the first to place weapons in outer space;

6. *Decides* to include in the provisional agenda of its seventy-second session the item entitled "No first placement of weapons in outer space".

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[5] See resolution S-10/2.